

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: Unassigned
KENJI YAMAGATA, ET AL.	)	
	:	Group Art Unit: Unassigned
Application No.: 10/733,361	)	
	:	
Filed: December 12, 2003	)	
	:	
For: PROCESSING APPARATUS FOR	)	
PROCESSING SUBSTRATE BY	:	
PROCESS SOLUTION	)	April 19, 2004
Commissioner for Patents		
P.O. Box 1450		
Alexandria, VA 22313-1450		

## <u>INFORMATION DISCLOSURE STATEMENT</u>

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the Japanese documents are enclosed.

The Japanese documents are discussed at pages 1 and 2 of the specification, and English-language abstracts for the Japanese documents are enclosed.

U.S. Patent No. 5,371,037 is believed to be an English-language counterpart of Japan 5-21338; U.S. Patent No. 5,767,020 an English-language counterpart of Japan 6-342784; U.S. Patent No. 6,103,598 an English-language counterpart of Japan 9-92803; U.S. Patent No.

6,337,030 an English-language counterpart of Japan 10-223585; U.S. Patent No. 6,199,563 an English-language counterpart of Japan 10-242102; and U.S. Patent No. 6,127,281 an English-language counterpart of Japan 11-204494.

## **FORMAL MATTERS**

In accordance with 37 C.F.R. § 1.97(b), since a first Office Action on the merits of the subject application has not yet been issued, neither a statement under 37 C.F.R. § 1.97(e) nor payment of a fee is believed to be required for consideration of this Information Disclosure Statement. However, the Commissioner is hereby authorized to charge any fee which may be required in connection with this paper to Deposit Account No. 06-1205. A duplicate of this paper is enclosed for that purpose.

## **CONCLUSION**

It is respectfully requested that the above information be considered by the Examiner and that an initialed copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

Attorney for Applicants Damond E. Vadnais Registration No. 52,310

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza
New York, New York 10112-3801
Facsimile: (212) 218-2200
DEV/kkv

DC Main 152675v1

9110 APPLICATION NO. FORM PTO 1449 (modified) TY DOCKET NO. 10/733,361 00862.023377 U.S. DEPARTMENT OF COMMER PATENT AND TRADEMARK OFFICE APPLICANT KENJI YAMAGATA, ET AL. LIST OF REFERENCES CITED BY APPLICAN (Use several sheets if necessary) GROUP FILING DATE Submitted to the PTO: April 19, 2004 December 12, 2003 Unassigned U.S. PATENT DOCUMENTS \*EXAMINER DOCUMENT FILING DATE CLASS SUBCLASS IF APPROPRIATE NUMBER DATE NAME INITIAL 6,156,624 12/05/2000 Yamagata et al. 438 459 6,190,937 B1 02/20/2001 Nakagawa et al. 438 67 6,221,738 B1 04/24/2001 Sakaguchi et al. 438 455 6,569,748 B1 05/27/2003 Sakaguchi et al. 438 455 6,382,292 B1 05/07/2002 Ohmi et al. 156 584 6,475,323 B1 11/05/2002 Ohmi et al. 156 239 6,143,628 11/07/2000 438 455 Sato et al. FOREIGN PATENT DOCUMENTS TRANSLATION CLASS **SUBCLASS** DOCUMENT DATE COUNTRY YES/NO/ NUMBER OR ABSTRACT 5-21338 1/29/1993 Japan **Abstract** 10-223585 8/21/1998 Japan Abstract 10-229066 8/25/1998 **Abstract** Japan 10-242102 9/11/1998 Japan Abstract 10-242103 9/11/1998 Japan Abstract 6-342784 12/13/1994 Japan Abstract 9-92803 4/4/1997 Japan Abstract 11-204494 7/30/1999

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.) **EXAMINER** DATE CONSIDERED

Japan

Japan

Japan

Abstract

Abstract

**Abstract** 

11-204495

2000-133632

7/30/1999

5/12/2000

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)

Submitted to the PTO: April 19, 2004

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U.S. DEPARTMENT OF COMMBRCE
PATENT AND TRADEMARK OF CE
LIST OF REFERENCES CITED BY APPLICATION (Use several sheets if necessary)

ATTY DOCKET NO.

00862.023377

APPLICATION NO.

10/733,361

APPLICANT KENJI YAMAGATA, ET AL.

FILING DATE

December 12, 2003

GROUP Unassigned

			U.S. PATENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	6,258,698 B1	07/10/2001	Iwasaki et al.	438	455	
	6,306,729 B1	10/23/2001	Sakaguchi et al.	438	458	
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	6,342,433 B1	01/29/2002	Ohmi et al.	438	455	
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	6,331,208 B1	12/18/2001	Nishida et al.	117	89	
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	5,371,037	12/06/1994	Yonehara	437	86	
		<b>.</b>	FOREIGN PATENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·	1	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATIO YES/NO/ OR ABSTRAC
	2000-133558	5/12/2000	Japan			Abstract
		OTHER POOL 2	CNTVC) // maluding Author Title Date Parties at Date 2			
		OTHER DOCUME	NT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)			
XAMINER			DATE CONSIDERED			

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FORM PTO 1449 (n		APR 1 9 2084	ATTY DOCKET NO. 00862.023377	APPLICA*	TION NO. 10/733,	361
LIST C	PATENT AND TRADEMARK ON STATEMENT AND TRADEMARK ON STATEMENT OF REFERENCES CITED BY APPLI	ADEMARK	APPLICANT KENJI YAMAGATA, ET A	L.		
Submitted to the PTC	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICATE (S) (Use several sheets if necessary)  itted to the PTO: April 19, 2004  FILING DATE  GROUE  December 12, 2003		GROUP Una	OUP Unassigned		
			U.S. PATENT DOCUMENTS			
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,337,030 B1	01/08/2002	Sakaguchi	216	91	
	6,199,563 B1	03/13/2001	Uehara et al.	134	25.4	
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			FOREIGN PATENT DOCUMENTS	_	<del></del>	
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

DATE CONSIDERED

Sheet	3	of	3

**EXAMINER** 

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